


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/809,408
 Filing Date: March 15, 2001
 First Named Inventor: Jennifer A. Dervin
 Art Unit: 2171
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000313US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
C		US - 2003/015084 A1	08-21-2003	Murphy et al.	
		US - 5,704,031	12-30-1997	Mikami et al.	
		US - 5,748,883	05-05-1998	Carlson et al.	
		US - 5,991,518	11-23-1999	Jardine et al.	
		US - 6,108,699	08-22-2000	Mojin	
		US - 6,314,526 B1	11-06-2001	Arendt et al.	
		US - 6,438,705 B1	08-20-2002	Chao et al.	JAN 30 2004
		US - 6,442,713 B1	08-27-2002	Block et al.	
C		US - 6,460,149 B1	10-01-2002	Rowlands et al.	Technology Center 2100
		US -			
		US -			

RECEIVED**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	<i>CAS</i>	Date Considered	7/13/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000313US1SERIAL NO.
09/809,408APPLICANT:
Jennifer A. Dervin et al.FILING DATE:
March 15, 2001GROUP:
2171

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

C	AR	U.S. Patent Application, Serial No. 09/827,804, entitled "Node Shutdown in Clustered Computer System," filed on April 6, 2001 by Block et al. (ROC920000312US1)
C	AS	U.S. Patent Application, Serial No. 09/920,470, entitled "Forwarding of Diagnostic Messages in a Group" filed on August 1, 2000 by Miller et al. (ROC920000311US1)
C	AT	

EXAMINER

CAo

DATE CONSIDERED

7/13/04

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